



Funders Concerned About AIDS  
Mobilizing Philanthropic Leadership, Ideas, and Resources  
in the Fight Against AIDS

PLEASE COMPLETE THIS SURVEY BY **APRIL 18, 2011**

**Return your completed survey:**

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**Questions:**

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# HIV/AIDS Philanthropy Survey on 2010 Funding

Name of Organization: \_\_\_\_\_ Name of Contact: \_\_\_\_\_

E-mail Address: \_\_\_\_\_ Telephone: \_\_\_\_\_

**In answering the questions below, please note the following:**

As with last year's survey, questions about the geographic focus and intended use of funding are asked about your organization's disbursements rather than commitments. If you are unable to answer any of the survey questions for your disbursements, but could provide data based on commitments, please provide that data with a note that the data was based on commitments. We distinguish disbursements and commitments as follows:

**Disbursements:** Disbursements are the **amount of funding expended on grants/projects in a given year** and may include funding from commitments made in prior years as well as in the current year.

**Commitments:** Commitments are funding pledged for grants/projects in a given year, **whether or not the funds were disbursed in that year.**

**Defining an HIV/AIDS grant or project:** In addition to reporting on grants/projects that are focused explicitly on HIV/AIDS, please include grants/projects made in other health, social, economic, and political areas when a significant aspect of the grant or project **included a focus on HIV/AIDS.**

**Activities to include:** Please restrict your answers to external HIV/AIDS grantmaking/projects (i.e. **do not count internal expenditures** on staff and/or other programming).

**Private Operating Foundations** (as defined by the IRS)\* should include the direct costs of HIV/AIDS-related programs only and **not costs for staff.**

**Do not include the value of donated services, products, or other in-kind donations.** (There is a space to list examples of your organization's in-kind donations, if you wish.)

**Do not include grants/projects** committed or disbursed from funding received from the **U.S. or any government.**

\* Private operating foundations are those specifically designated as such by the IRS, that use the bulk of their resources to run their own charitable programs, making few, if any, grants to outside organizations. Very few funders that FCAA surveys qualify as private operating foundations.

## QUESTIONS FOR CALENDAR YEAR 2010

1a. What was the total dollar amount of your HIV/AIDS grant/project **disbursements** in 2010?

\$

1b. What was the total dollar amount of your HIV/AIDS grant/project **commitments** in 2010?

\$

**Note:** If your answer to question 1a was below \$300,000, please **STOP HERE.** You do not need to complete the rest of the survey. Please send us the information above. Thank you.

1c. What was the total number of HIV/AIDS grants/projects disbursed in 2010?

All subsequent questions for this year refer to grant/project disbursements only.

### 2011 FORECAST

2a. Compared to 2010, please predict whether the total amount of your HIV/AIDS disbursements in 2011 will:

- increase     remain the same     unsure  
 decrease     discontinue

2b. If your HIV/AIDS funding will likely decrease or discontinue in 2011, is that a result of disbursing more funding towards other related areas (such as health systems strengthening, or maternal and child health)?

- Yes     No

Notes as needed:

## GEOGRAPHIC DISTRIBUTION

**In 2010, where were your HIV/AIDS grant/project dollars disbursed?**

Please approximate total dollar amounts as best you can for the locations of grant recipients/projects. The geographical location would be where the recipient's main office is situated.

**Note:** The amounts in 3a, 3b, and 3c should add up to the total reported for question 1a.

**3a. Total dollars disbursed to U.S.-based grantees/projects for domestic HIV/AIDS programs: \$**

Please provide total dollar disbursements by region:

**Note:** Amounts should add up to total reported in 3a above.

- \$  Northeast (CT, MA, ME, NH, NJ, NY, PA, RI, VT)
- \$  South (AL, AR, DC, DE, FL, GA, KY, LA, MD, MS, NC, OK, SC, TN, TX, VA, WV)
- \$  Midwest (IA, IL, IN, KS, MI, MN, MO, NE, ND, OH, SD, WI)
- \$  West (AK, AZ, CA, CO, HI, ID, MT, NV, NM, OR, UT, WA, WY)
- \$  U.S. territories (PR, VI)

**3b. Total dollars disbursed to U.S.-based grantees/projects for international HIV/AIDS work: \$**

Notes as needed:

**3c. Total dollars disbursed to grantees/projects based outside the United States for international HIV/AIDS work: \$**

Please provide total dollar disbursements to grantees/projects by region. Funding provided directly to the Global Fund, WHO, UNAIDS or other multilateral organizations should be entered in the appropriate area below, and not in a geographic region. Please see Appendix for the definition of each region.

**Note:** The amounts here should add up to the total reported for question 3c.

- \$  Canada
- \$  Caribbean
- \$  Latin America
- \$  Western and Central Europe
- \$  Eastern Europe and Central Asia
- \$  West and Central Africa
- \$  East and Southern Africa
- \$  North Africa and the Middle East
- \$  South Asia and the Pacific
- \$  East Asia and South East Asia
- \$  The Global Fund
- \$  WHO, UNAIDS, other multilaterals

## INTENDED USE

**4a. In 2010, what was the intended use of your disbursed grants/projects to address the epidemic in the United States?**

Please approximate total dollar amounts as best you can for the intended use of your grants/projects.

- \$  HIV/AIDS awareness and prevention (including harm reduction)
- \$  HIV/AIDS treatment and medical care (including provider and patient treatment information)
- \$  HIV/AIDS-related social services (e.g. housing, employment, food, legal)
- \$  HIV/AIDS public policy, advocacy, and communications (e.g. human rights programs)
- \$  HIV/AIDS research (including medical, prevention, and social science research)
- \$  Orphans and vulnerable children (OVC)
- \$  HIV/AIDS human resources (e.g. training, recruitment, and retention of health care workers)
- \$  Program management and administration (e.g., core support, M&E, facilities investment)
- \$  Other (e.g. health systems strengthening, related MDG areas such as maternal and child health) Please specify:

**Note:** These amounts should add up to the total reported for question 3a (total domestic giving).

**4b. In 2010, what was the intended use of your disbursed grants/projects to address the epidemic outside of the United States (including funds given to US-based organizations to address HIV/AIDS outside the US)?**

Please approximate total dollar amounts as best you can for the intended use of your grants/projects.

- \$  HIV/AIDS awareness and prevention (including harm reduction)
- \$  HIV/AIDS treatment and medical care (including provider and patient treatment information)
- \$  HIV/AIDS-related social services (e.g. housing, employment, food, legal)
- \$  HIV/AIDS public policy, advocacy, and communications (e.g. human rights programs)
- \$  HIV/AIDS research (including medical, prevention, and social science research)
- \$  Orphans and vulnerable children (OVC)
- \$  HIV/AIDS human resources (e.g. training, recruitment, and retention of health care workers)
- \$  Program management and administration (e.g., core support, M&E, facilities investment)
- \$  Other (e.g. health systems strengthening, related MDG areas such as maternal and child health) Please specify:

**Note:** These amounts should add up to the total reported for questions 3b and 3c combined (total international giving).

## TARGET POPULATIONS

5. **Target populations:** Check the **three** population groups that receive the greatest benefit from your domestic and international funding. The categories below are not mutually exclusive. Mark the three that best reflect the main target populations reached through your funding.

**Domestic funding** – Please only pick three

- People living with HIV/AIDS
- Women
- Youth
- Orphaned/vulnerable children (OVC)
- African Americans
- Latinos
- Asians/Pacific Islanders
- Migrants
- Injecting drug users (IDU)
- Sex workers
- Health care workers
- Men who have sex with men (MSM)
- Incarcerated people
- Homeless/impoverished persons
- Medical research projects that support the following population(s): \_\_\_\_\_
- Other: ( \_\_\_\_\_ )

**International funding** – Please only pick three

- People living with HIV/AIDS
- Women
- Youth
- Orphaned/vulnerable children (OVC)
- Migrants
- Injecting drug users (IDU)
- Sex workers
- Health care workers
- Men who have sex with men (MSM)
- Rural populations
- Medical research projects that support the following population(s): \_\_\_\_\_
- Other: ( \_\_\_\_\_ )

6a. **In-kind donations:** Please share examples of any HIV/AIDS-related in-kind donations you made in 2010.

7. **Re-granting:** If you received \$50,000 or more from any other philanthropic organization in 2010, please list grantor and the value of grants received from each foundation that were subsequently re-granted through your organization for HIV/AIDS programs. Note that your organization's total grantmaking, including funds you re-granted, will be reflected in the FCAA report.

Grant received from	Total re-granted to other organizations
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

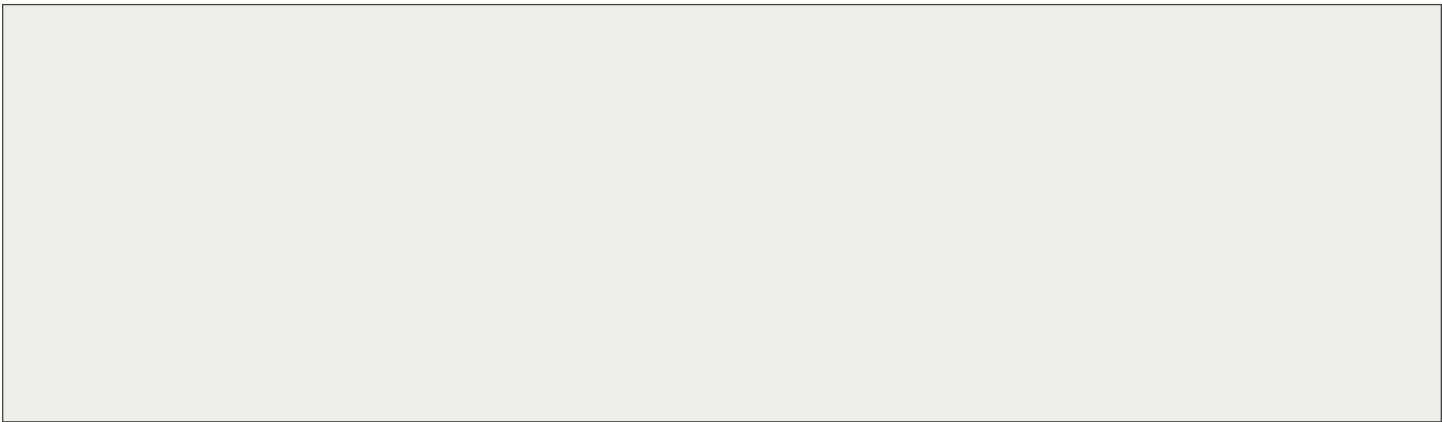
6b. **Did you provide any technical assistance\* in 2010?**  
Please provide the financial value or please describe.

\*Technical assistance is the transfer of expert knowledge, such as professional advice and training, from a grantmaker to a grantee.

## HELPING US HELP YOU

8. **Sharing best practices:** Funders have given us feedback that they particularly like learning about grants/projects their fellow funders are supporting. Please offer an example of an exemplary grant/project to be shared in the report, such as a:

- highly efficient program
- highly effective program
- long-term/sustainable program
- lesson learned
- evidence-based intervention



Thank you for participating in the 2011 FCAA resource tracking survey. *U.S. Philanthropic Support to Address HIV/AIDS* is the most accurate and thorough guide to HIV/AIDS-related private giving in the United States, and is sought after by foundations, policy makers and media alike. This critical data – developed with the participation and commitment of funders like you –

serves as the preeminent tool to mobilize the philanthropic sector's response to HIV and AIDS. A summary of your response, and that of your colleagues, will be published in the next edition of this report in November 2011. In the interim, for more information on resource tracking, please visit: [www.fcaaid.org](http://www.fcaaid.org)

## APPENDIX: DEFINITIONS OF GLOBAL REGIONS

*(Note: these definitions are taken from UNAIDS)*

### **Caribbean**

Anguilla, Antigua and Barbuda, Aruba, Bahamas, Barbados, Bermuda, British Virgin Islands, Cayman Islands, Cuba, Dominica, Dominican Republic, French Guyana, Grenada, Guadeloupe, Guyana, Haiti, Jamaica, Martinique, Montserrat, Netherland Antilles, Puerto Rico, St. Kitts and Nevis, St. Lucia, St. Vincent and the Grenadines, Suriname, Trinidad and Tobago, Turks and Caicos Islands, US Virgin Islands

### **Latin America**

Argentina, Belize, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela

### **Western and Central Europe**

Austria, Belgium, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Liechtenstein, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, United Kingdom of Great Britain and Northern Ireland, Vatican City

### **Eastern Europe and Central Asia**

Armenia, Albania, Azerbaijan, Belarus, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Estonia, Georgia, Hungary, Kazakhstan, Kosovo, Kyrgyzstan, Latvia, Lithuania, Macedonia, Malta, Moldova, Poland, Romania, Russian Federation, Serbia and Montenegro, Slovakia, Slovenia, Tajikistan, Turkey, Turkmenistan, Ukraine, Uzbekistan

### **West and Central Africa**

Benin, Burkina Faso, Burundi, Cameroon, Cape Verde, Central African Republic, Chad, Congo (Brazza), Congo (DR), Côte d'Ivoire, Equatorial Guinea, Gabon, Gambia, Ghana, Guinea-Bissau, Guinea-Conakry, Liberia, Mali, Mauritania, Niger, Nigeria, Sao Tome, Senegal, Sierra Leone, Togo

### **East and Southern Africa**

Angola, Botswana, Comoros, Djibouti, Eritrea, Ethiopia, Kenya, Lesotho, Madagascar, Malawi, Mauritius, Mozambique, Namibia, Rwanda, Seychelles, Somalia, South Africa, Swaziland, Uganda, United Republic of Tanzania, Zambia, Zimbabwe

### **North Africa and the Middle East**

Afghanistan, Algeria, Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Libya, Morocco, Oman, Palestinian Territories, Qatar, Saudi Arabia, Sudan, Syria, Tunisia, United Arab Emirates, Yemen

### **South Asia and the Pacific**

Australia, Bangladesh, Bhutan, Fiji, India, Maldives, Nepal, New Zealand, Pakistan, Papua New Guinea, Samoa, Sri Lanka, Timor-Leste

### **East Asia and South East Asia**

Brunei Darussalam, Cambodia, China, Indonesia, Japan, Lao People's Democratic Republic, Korea (DPR), Korea (Republic), Malaysia, Mongolia, Myanmar, Philippines, Singapore, Thailand, Vietnam