

**Funders Concerned About AIDS (FCAA)**  
2008 HIV/AIDS Philanthropy Survey

Name of Organization: \_\_\_\_\_  
Person Completing Survey: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Organization Website URL: \_\_\_\_\_

In answering the questions below, please note the following:

- **Changes to this year's survey:** We have made a few changes in this year's survey in an effort to capture more useful information for readers of the Resource Tracking report and to minimize time needed to complete the survey where possible. For those with HIV/AIDS-related disbursements below \$300,000 in 2008 we are only asking that you complete the beginning of the survey. The "geographic" question for international funding now includes revised categories for regional funding in harmonization with UNAIDS' latest resource tracking regional definitions, and includes categories for funding to The Global Fund and other multilateral organizations that work globally. This year we are asking that you report "intended use" of funding for domestic and international funding separately. Two new categories - OVC and human resources - have been added to the "intended use" question to harmonize with UNAIDS' intended use categories. We have made minor changes to the "target population" categories. Finally, we have provided space for you to let us know of particularly innovative funding projects in which you are engaged.
- As with last year's survey, questions about the geographic focus and intended use of funding are asked about your organization's **disbursements rather than commitments**. *If you are unable to answer any of the survey questions for your disbursements, but could provide data based on commitments, please provide that data with a note that the data was **based on commitments**.* We distinguish disbursements and commitments as follows:
  - **Disbursements:** Disbursements are the amount of funding expended on grants/projects *in* a given year and may include funding from commitments made in prior years as *well* as in the current year.
  - **Commitments:** Commitments are funding pledged for grants/projects in a given year, whether or not the funds were disbursed in that year.
- **Defining an HIV/AIDS grant or project:** In addition to reporting on grants/projects that are focused explicitly on HIV/AIDS, please include grants/projects made in other health, social, economic, and political areas when a **significant** aspect of the grant or project included a focus on HIV/AIDS.
- **Activities to include:** Please restrict your answers to **external** HIV/AIDS grantmaking/projects (i.e. *do not count* internal expenditures on staff and/or other programming).
  - *Private Operating Foundations* (as defined by the IRS)\* *should include* the direct costs of HIV/AIDS-related programs only and not costs for staff.
  - *Do not include* the value of donated services, products, or other in-kind donations. (there is a space to list examples of your organization's in-kind donations, if you wish)
  - *Do not include* grants/projects committed or disbursed from funding received from the U.S. or any government.

**Please complete the survey by June 15, 2009.** Return your completed survey to Erika Baehr via e-mail at [erikabaehr@gmail.com](mailto:erikabaehr@gmail.com) or fax at (617) 674.2240. Questions? Call Erika at (617) 987.0095

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\* Private operating foundations are those specifically designated as such by the IRS, that use the bulk of their resources to run their own charitable programs, making few, if any, grants to outside organizations. Very few funders that FCAA surveys qualify as private operating foundations.

**QUESTIONS FOR CALENDAR YEAR 2008**

**1a.** What was the **total dollar amount** of your HIV/AIDS grant/project **disbursements** in 2008?

\$ \_\_\_\_\_

*Note: **Disbursements** means the total dollar amount actually paid out to grantees/projects or for projects during the calendar year. This total should include funds re-granted from other organizations.*

**1b.** What was the **total dollar amount** of your HIV/AIDS grant/project **commitments** in 2008?

\$ \_\_\_\_\_

*Note: **Commitments** means the total dollar amount pledged during the calendar year, whether the funds were disbursed in that or another year. This total should include funds re-granted from other organizations. A multi-year grant/project should be fully counted in the year that it was committed.*

**Note: If your answer to question 1a was below \$300,000, please STOP HERE. You do not need to complete the rest of the survey. Please send us the information above. Thank you.**

All subsequent questions for this year refer to grant/project **disbursements only.**

*(Private Operating Foundations should include only the direct costs of HIV/AIDS-related programs)*

**1c.** *Private Operating Foundations only\**: Of the amount reported in #1a above, please provide a breakdown between:

The dollar amount of HIV/AIDS cash grants disbursed in 2008 \$ \_\_\_\_\_

The dollar value of HIV/AIDS program activities conducted in 2008 \$ \_\_\_\_\_

**1d.** *All organizations:* Compared to **2008**, please predict whether the total amount of your HIV/AIDS disbursements in **2009** will (*please type 'x' by only **one** response*):

- increase \_\_\_\_\_
- decrease \_\_\_\_\_
- remain the same \_\_\_\_\_
- discontinue \_\_\_\_\_
- unsure \_\_\_\_\_

**2.** What was the **total number** of HIV/AIDS grants/projects disbursed in **2008**?

\_\_\_\_\_

**2a.** Were any of these grants/projects multi-year commitments?

Y \_\_\_ / N \_\_\_ (type 'x' next to one)

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**3. In 2008, where** were your HIV/AIDS grant/project dollars disbursed?  
 (Please approximate total dollar amounts as best you can for the locations of grant recipients/projects. The geographical location would be where the recipient's main office is situated. If you are unable to provide geographical data on disbursements, but could provide data based on commitments, please provide the data with a note that the data was based on commitments.)

**The amounts in 3a, 3b, and 3c should add up to the total reported for question 1a.**

**3a. Total dollars** disbursed to U.S.-based grantees/projects for **domestic** HIV/AIDS programs:

\$ \_\_\_\_\_

Please provide total dollar disbursements by region (the definitions of U.S. regions are consistent with U.S. Census definitions):

*Amounts should add up to the total reported for question 3a.*

Northeast	\$ _____	(CT, MA, ME, NH, NJ, NY, PA, RI, VT)
South	\$ _____	(AL, AR, DC, DE, FL, GA, KY, LA, MD, MS, NC, OK, SC, TN, TX, VA, WV)
Midwest	\$ _____	(IA, IL, IN, KS, MI, MN, MO, NE, ND, OH, SD, WI)
West	\$ _____	(AK, AZ, CA, CO, HI, ID, MT, NV, NM, OR, UT, WA, WY)
U.S. territories	\$ _____	(PR, VI)

**3b. Total dollars** disbursed to U.S.-based grantees/projects for **international** HIV/AIDS work:

\$ \_\_\_\_\_

**3c. Total dollars** disbursed to grantees/projects based outside the United States for **international** HIV/AIDS work:

\$ \_\_\_\_\_

Please provide total dollar disbursements to grantees/projects by region. Funding provided directly to the Global Fund, WHO, UNAIDS or other multilateral organizations should be entered in the appropriate area below, and **not** in a geographic region. Please see Appendix 1 for definition of each region.

*The amounts here should add up to the total reported for question 3c.*

Canada	\$ _____
Caribbean	\$ _____
Latin America	\$ _____
Western and Central Europe	\$ _____
Eastern Europe and Central Asia	\$ _____
West and Central Africa	\$ _____
East and Southern Africa	\$ _____
North Africa and the Middle East	\$ _____
South Asia and the Pacific	\$ _____
East Asia and South East Asia	\$ _____
The Global Fund	\$ _____
WHO, UNAIDS, other multilaterals	\$ _____

**4a. In 2008, what was the intended use of your disbursed grants/projects to address the epidemic in the United States?**

(Please approximate total dollar amounts as best you can for the intended use of your grants/projects. If you are unable to provide intended use data on disbursements, but could provide data based on commitments, please provide the data with a note that the data was based on commitments.)

***These amounts should add up to the total reported for question 3a.***

HIV/AIDS awareness and prevention (including harm reduction)

\$ \_\_\_\_\_

HIV/AIDS treatment and medical care (including provider and patient treatment information)

\$ \_\_\_\_\_

HIV/AIDS-related social services (e.g. housing, employment, food, legal)

\$ \_\_\_\_\_

HIV/AIDS public policy, advocacy, and communications

\$ \_\_\_\_\_

HIV/AIDS research (including medical, prevention, and social science research)

\$ \_\_\_\_\_

Orphans and vulnerable children (OVC)

\$ \_\_\_\_\_

HIV/AIDS human resources (e.g. training, recruitment, and retention of health care workers)

\$ \_\_\_\_\_

Other (e.g. long-term health systems strengthening and/or facilities investment, program management and administration) - *Please specify:* \_\_\_\_\_)

\$ \_\_\_\_\_

**4b. In 2008, what was the intended use of your disbursed grants/projects to address the epidemic outside of the United States (including funds given to US-based organizations to address HIV/AIDS outside the US)?**

(Please approximate total dollar amounts as best you can for the intended use of your grants/projects. If you are unable to provide intended use data on disbursements, but could provide data based on commitments, please provide the data with a note that the data was based on commitments.)

***These amounts should add up to the total reported for questions 3b and 3c combined.***

HIV/AIDS awareness and prevention (including harm reduction)

\$ \_\_\_\_\_

HIV/AIDS treatment and medical care (including provider and patient treatment information)

\$ \_\_\_\_\_

HIV/AIDS-related social services (e.g. housing, employment, food, legal)

\$ \_\_\_\_\_

HIV/AIDS public policy, advocacy, and communications

\$ \_\_\_\_\_

HIV/AIDS research (including medical, prevention, and social science research)

\$ \_\_\_\_\_

Orphans and vulnerable children (OVC)

\$ \_\_\_\_\_

HIV/AIDS human resources (e.g. training, recruitment, and retention of health care workers)

\$ \_\_\_\_\_

Other (e.g. long-term health systems strengthening and/or facilities investment, program management and administration) - *Please specify:* \_\_\_\_\_

\$ \_\_\_\_\_

5. **Target populations:** Please place an 'x' next to the **three population groups** that receive the greatest benefit from your domestic and international funding. The categories below are not mutually exclusive. Please mark the three that best reflect the main target populations reached through your funding.

**Domestic funding – Please only pick three**

- People living with HIV/AIDS \_\_\_\_\_
- Women \_\_\_\_\_
- Youth \_\_\_\_\_
- Orphaned/vulnerable children (OVC) \_\_\_\_\_
- African Americans \_\_\_\_\_
- Latinos \_\_\_\_\_
- Native Americans \_\_\_\_\_
- Asians/Pacific Islanders \_\_\_\_\_
- Migrants \_\_\_\_\_
- Injecting drug users (IDU) \_\_\_\_\_
- Sex workers \_\_\_\_\_
- Health care workers \_\_\_\_\_
- Men who have sex with men (MSM) \_\_\_\_\_
- Incarcerated people \_\_\_\_\_
- Homeless/impooverished persons \_\_\_\_\_
- Other: ( \_\_\_\_\_ ) \_\_\_\_\_

**International funding – Please only pick three**

- People living with HIV/AIDS \_\_\_\_\_
- Women \_\_\_\_\_
- Youth \_\_\_\_\_
- Orphaned/vulnerable children (OVC) \_\_\_\_\_
- Migrants \_\_\_\_\_
- Refugees \_\_\_\_\_
- Injecting drug users (IDU) \_\_\_\_\_
- Sex workers \_\_\_\_\_
- Health care workers \_\_\_\_\_
- Men who have sex with men (MSM) \_\_\_\_\_
- Incarcerated people \_\_\_\_\_
- Other: ( \_\_\_\_\_ ) \_\_\_\_\_

6. **In-kind donations (optional):** If you would like to, please list examples of HIV/AIDS-related in-kind donations you made in 2008.

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7. **Re-granting:** If you received \$50,000 or more from any of the foundations noted in Appendix 2 in 2008, please list the value of grants received from each foundation that were subsequently re-granted through your organization. Note that your organization's total grantmaking, including funds you re-granted, will be reflected in the FCAA report.

<u>Grant received from</u>	<u>Total re-granted to other organizations</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____

8. **Innovative funding (optional):** Please feel free to use the space below to share an example of innovative funding that you would like others to know about. We will publish several examples in our report.

## **Definitions of Global Regions**

(Note: these definitions are taken from UNAIDS)

### **Caribbean**

Anguilla, Antigua and Barbuda, Aruba, Bahamas, Barbados, Bermuda, British Virgin Islands, Cayman Islands, Cuba, Dominica, Dominican Republic, French Guyana, Grenada, Guadeloupe, Guyana, Haiti, Jamaica, Martinique, Montserrat, Netherland Antilles, Puerto Rico, St. Kitts and Nevis, St. Lucia, St. Vincent and the Grenadines, Suriname, Trinidad and Tobago, Turks and Caicos Islands, US Virgin Islands

### **Latin America**

Argentina, Belize, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela

### **Western and Central Europe**

Austria, Belgium, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Liechtenstein, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, United Kingdom of Great Britain and Northern Ireland, Vatican City

### **Eastern Europe and Central Asia**

Armenia, Albania, Azerbaijan, Belarus, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Estonia, Georgia, Hungary, Kazakhstan, Kosovo, Kyrgyzstan, Latvia, Lithuania, Macedonia, Malta, Moldova, Poland, Romania, Russian Federation, Serbia and Montenegro, Slovakia, Slovenia, Tajikistan, Turkey, Turkmenistan, Ukraine, Uzbekistan

### **West and Central Africa**

Benin, Burkina Faso, Burundi, Cameroon, Cape Verde, Central African Republic, Chad, Congo (Brazza), Congo (DR), Côte d'Ivoire, Equatorial Guinea, Gabon, Gambia, Ghana, Guinea-Bissau, Guinea- Conakry, Liberia, Mali, Mauritania, Niger, Nigeria, Sao Tome, Senegal, Sierra Leone, Togo

### **East and Southern Africa**

Angola, Botswana, Comoros, Djibouti, Eritrea, Ethiopia, Kenya, Lesotho, Madagascar, Malawi, Mauritius, Mozambique, Namibia, Rwanda, Seychelles, Somalia, South Africa, Swaziland, Uganda, United Republic of Tanzania, Zambia, Zimbabwe

### **North Africa and the Middle East**

Afghanistan, Algeria, Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Libya, Morocco, Oman, Palestinian Territories, Qatar, Saudi Arabia, Sudan, Syria, Tunisia, United Arab Emirates, Yemen

### **South Asia and the Pacific**

Australia, Bangladesh, Bhutan, Fiji, India, Maldives, Nepal, New Zealand, Pakistan, Papua New Guinea, Samoa, Sri Lanka, Timor-Leste

### **East Asia and South East Asia**

Brunei Darussalam, Cambodia, China, Indonesia, Japan, Lao People's Democratic Republic, Korea (DPR), Korea (Republic), Malaysia, Mongolia, Myanmar, Philippines, Singapore, Thailand, Vietnam

## Appendix 2

### Grantmaker list to review for calculating re-granting

(This is the list of top 82 funders in alphabetical order, based on 2007 data.)

Abbott Laboratories Fund, IL  
AIDS Foundation of Chicago, IL  
AIDS Funding Collaborative, OH  
Alphawood Foundation, IL  
Altria Group, Inc., NY  
American Jewish World Service, Inc., NY  
The Annenberg Foundation, PA  
Atlanta AIDS Partnership Fund, GA  
BD (Becton, Dickinson and Company), NJ  
Bickerstaff Family Foundation, CA  
The Bristol-Myers Squibb Foundation, Inc., NY  
Broadway Cares/Equity Fights AIDS, NY  
The California Endowment, CA  
The California Wellness Foundation, CA  
The Campbell Foundation, FL  
Children Affected by AIDS Foundation, CA  
The Children's Investment Fund Foundation, NY  
The Comer Foundation, IL  
The Design Industries Foundation Fighting AIDS (DIFFA), NY  
Doris Duke Charitable Foundation, NY  
The Elizabeth Glaser Pediatric AIDS Foundation, CA  
Elton John AIDS Foundation, Inc., NY  
ExxonMobil Foundation, TX  
Firelight Foundation, CA  
The Ford Foundation, NY  
The Foundation for AIDS Research (amfAR), NY  
Bill & Melinda Gates Foundation, WA  
The Gill Foundation, CO  
Glaser Progress Foundation, WA  
The Global Fund for Women, CA  
The George Gund Foundation, OH  
Hartford Foundation for Public Giving, CT  
The Health Foundation of Greater Indianapolis, IN  
The Healthcare Foundation of New Jersey, NJ  
The William and Flora Hewlett Foundation, CA  
HIV Collaborative Fund, a project of the Tides Center, CA  
Houston Endowment Inc., TX  
Indiana AIDS Fund, IN  
International Fund for Health & Family Planning, NY  
Irene Diamond Fund, NY  
James B. Pendleton Charitable Trust, WA  
Johnson & Johnson, NJ  
The Robert Wood Johnson Foundation, NJ  
The Henry J. Kaiser Family Foundation, CA  
W. M. Keck Foundation, CA  
W. K. Kellogg Foundation, MI  
The Kresge Foundation, MI  
Levi Strauss Foundation, CA

The John M. Lloyd Foundation, CA  
M•A•C AIDS Fund and M•A•C Cosmetics, NY  
John D. & Catherine T. MacArthur Foundation, IL  
Merck Company Foundation and Merck & Co., Inc., NJ  
Missouri Foundation for Health, MO  
National AIDS Fund, DC  
The New York Community Trust, NY  
The John R. Oishei Foundation, NY  
The David and Lucile Packard Foundation, CA  
The Pew Charitable Trusts, PA  
The Pfizer Foundation, Inc., NY  
Polk Bros. Foundation, IL  
Public Welfare Foundation, Inc., DC  
Kate B. Reynolds Charitable Trust, NC  
Richmond County Savings Foundation, NY  
The Robin Hood Foundation, NY  
The Rockefeller Brothers Fund, Inc., NY  
The Rockefeller Foundation, NY  
San Diego HIV Funding Collaborative, a project of the Alliance Healthcare Foundation, CA  
San Francisco AIDS Foundation, CA  
The San Francisco Foundation, CA  
Silicon Valley Community Foundation, CA  
South Africa Development Fund, MA  
The Starr Foundation, NY  
Staying Alive Foundation, NY  
Tides Foundation, CA  
United Nations Foundation, DC  
Until There's A Cure Foundation, CA  
H. van Ameringen Foundation, NY  
Washington AIDS Partnership, DC  
The Wells Fargo Foundation, CA  
White Flowers Foundation, NY  
Williamsburg Community Health Foundation, VA